

## KK parity in warped extra dimension

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**ABSTRACT:** We construct models with a Kaluza-Klein (KK) parity in a five-dimensional warped geometry, in an attempt to address the little hierarchy problem present in setups with bulk Standard Model fields. The lightest KK particle (LKP) is stable and can play the role of dark matter. We consider the possibilities of gluing two identical slices of AdS<sub>5</sub> in either the UV (IR-UV-IR model) or the IR region (UV-IR-UV model) and discuss the model-building issues as well as phenomenological properties in both cases. In particular, we find that the UV-IR-UV model is not gravitationally stable and that additional mechanisms might be required in the IR-UV-IR model to address flavor issues. Collider signals of the warped KK parity are different from either the conventional warped extra dimension without KK parity, in which the new particles are not necessarily pair-produced, or the KK parity in flat universal extra dimensions, where each KK level is nearly degenerate in mass. Dark matter and collider properties of a TeV mass KK  $Z$  gauge boson as the LKP are discussed.

**KEYWORDS:** Field Theories in Higher Dimensions, Cosmology of Theories beyond the SM, Beyond Standard Model.

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## 1. Introduction

Solutions to the hierarchy problem of the Standard Model (SM) invoke new physics (NP) around the TeV scale to cut-off the quadratically divergent quantum corrections to the Higgs mass. Ideally, to avoid too much fine-tuning, the lightest NP states should be present already at the weak (*sub*-TeV) scale. However, NP induces higher-dimensional operators involving the SM particles which result in a tension with precision tests of the SM, in both the electroweak (EW) and the flavor sector. To be consistent with the EW precision tests, flavor-preserving operators generated by NP typically require the scale of NP to be larger than *a few* TeV [1] and are difficult to suppress by any known (approximate) symmetries of the SM.<sup>1</sup> This tension is called the little hierarchy problem. Besides, in the presence of  $O(1)$  new sources of CP violation, the data on flavor violation in Kaon system requires the NP mass scale to be larger than several thousands TeV. However, it might be possible to address the latter constraints by suitable flavor symmetries.

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<sup>1</sup>Exceptions include custodial isospin for the  $T$  parameter [2].

A new symmetry at the TeV scale can ameliorate some of these constraints if at least the lightest NP states, which a priori give the largest electroweak corrections, are charged under this symmetry while the SM particles are neutral [3, 4]. In such a case, the charged NP states do not contribute at tree level to the operators constrained by the precision tests since couplings of a single charged state to SM particles are forbidden. NP contributions from these states arise only at loop level. This makes sub-TeV NP states consistent with EW precision data. These NP states may then play the role of cutting-off the Higgs mass divergence without any fine-tuning, thus avoiding the little hierarchy problem. As a spin-off, the new symmetry implies the existence of a new stable particle that can be a dark matter candidate if it is electrically neutral and weakly interacting.

The simplest possibility of a new symmetry at the TeV scale is a discrete  $Z_2$  parity. The classic example is  $R$ -parity in supersymmetry. In little Higgs, the similar role is played by  $T$ -parity [4, 5] under which the new gauge bosons are charged. Yet another example is Kaluza-Klein (KK) parity [6] in universal extra dimensions (UED) [7]. However, no explicit UV completions exist in the literature for the latter two scenarios, which by nature are effective theories below say 10 TeV. Moreover, all three of these frameworks do not address flavor violation issues which require detailed understanding of the possible UV completion or SUSY breaking mechanism.

The situation is quite different in the Randall-Sundrum (RS1) setup [8] based on a slice of  $AdS_5$  in the sense that both the Planck-weak and flavor hierarchies can be addressed as follows. Owing to the warped geometry, the 4D (or zero-mode) graviton is localized near the UV/Planck brane which has a Planckian fundamental scale, whereas the Higgs sector can be localized near the IR/TeV brane where the cut-off is of order TeV. In this way the Planck-weak hierarchy is addressed. Based on the AdS/CFT correspondence [9, 10], RS1 is conjectured to be dual to 4D composite Higgs models [11–13]. In the original RS1 model, the entire SM (including the fermions and gauge bosons) are assumed to be localized on the TeV brane. However, it was subsequently realized that, with the SM fermion [14, 15] and gauge fields [16] propagating in the bulk, such a framework not only solves the Planck-weak hierarchy, but can also address the flavor hierarchy. The idea is that light SM fermions (which are zero-modes of 5D fermions) can be localized near the UV brane, whereas the top quark is localized near the IR brane, resulting in small and large couplings respectively to the SM Higgs localized near the IR brane. Moreover, the flavor problem (both from unknown physics at the cut-off and from the KK states) is also under control [15, 17] due to an analog of the GIM mechanism or approximate flavor symmetries [18], even with a few TeV KK scale and despite the recent  $B$ -physics data [19].

The versions of this framework studied so far do not have a discrete symmetry analogous to KK parity in UED. The constraints from EW precision tests require the lightest gauge KK modes to be heavier than a few TeV, provided suitable custodial symmetries are implemented to suppress contributions to the  $T$  parameter [20] and shift in coupling of  $Z$  boson to  $b_L$  [21]. As mentioned above, a similar limit on the KK mass scale arises also from flavor violation: see references [22–24] for other studies of these issues.

Thus, although the big (Planck-weak) hierarchy and flavor issues are addressed, the little hierarchy problem generically persists in these models. Phenomenologically, the im-

plication of the little hierarchy is that, if mass scales of the new physics are higher than  $2 - 3$  TeV, the new particles would barely be reachable at the LHC especially if they are not charged under the  $SU(3)_c$  strong interaction [25].

The goal of this paper is to implement an analog of KK-parity of UED in a warped extra dimension, by requiring the warp factor to be symmetric with respect to the mid-point of the extra dimension. In this construction, there are two towers in the KK decomposition of a bulk field, namely, KK modes which are even and odd under the parity symmetry. The SM particles belong to the even towers. The odd modes cannot have single couplings to the SM, therefore they are allowed to be lighter than a TeV without contradicting the precision EW constraints. Although the primary focus of the present work is to ease out the experimental constraints and lower the mass scale of the new particle, we will argue that these lightest odd modes can cut off the quadratic divergences in the Higgs sector, thus addressing the little hierarchy problem. Furthermore, the lightest odd particle is stable and could be a WIMP, naturally giving the correct dark matter abundance, like in UED [26, 27]. The resulting collider phenomenology is different from usual models with a warped extra dimension. In particular, KK-odd particles have to be produced in pairs and give missing energy signals due to the decay chains ending in lightest KK-odd particles.

The outline of the paper is as follows. In the next section we present a brief review of KK number conservation and KK parity in UED. In section 3 we discuss three-site moose toy models to understand the relation between different warp factors and the low-energy KK spectrum of gauge bosons. In section 4 we consider gluing two identical slices of  $AdS_5$  in the UV region (the IR-UV-IR setup) and discuss the phenomenological features. Large brane-localized terms are necessary in order to obtain the desired pattern for the spectra of gauge bosons. In this section, we present a model where the LKP is a KK  $Z$  gauge boson and discuss the corresponding dark matter phenomenology. In section 5 we discuss briefly the alternative of gluing two slices of  $AdS_5$  in the IR region (the UV-IR-UV setup). Even though the UV-IR-UV setup has certain nice phenomenological features, this setup is unstable gravitationally. In the last section we present our conclusions. Lastly in the appendices we give a CFT interpretation of our setups, as well as some discussion on cutting off the Higgs quadratic-divergences using the lightest KK-odd gauge bosons.

## 2. Mini-review on UED

We begin by reviewing origins of the success of UED [7] in fitting the precision electroweak measurements while allowing for KK masses well below 1 TeV, as certain important features of UED have not been emphasized enough in the past, which nonetheless will become crucial when constructing models with KK parity in warped extra dimension. Hence, this review of UED will serve as a guide in model-building for the warped case.

In the framework of UED, the existence of KK parity requires very special conditions. In that setup, the extra dimension is an interval with a flat background geometry, and KK parity is realized as a geometric reflection about the midpoint of the extra dimension.<sup>2</sup>

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<sup>2</sup>Strictly speaking, in ref. [6], KK-parity is defined as the reflection about the midpoint combined with

Alternatively, such an extra dimension can be viewed as an orbifold  $S^1/Z_2$ , that is a compactified circle with a  $Z_2$  orbifolding imposed. Before  $Z_2$  orbifolding, the circle  $S^1$  has a translational symmetry that is manifested as a  $U(1)$  symmetry in the 4D KK decomposition. Momentum in the fifth direction now becomes quantized and each KK mode carries a conserved quantum number, the KK number, under the  $U(1)$  symmetry. The translational symmetry along the circle is obviously broken by the  $Z_2$  orbifolding, or, in other words, by the orbifold fixed points, which can be thought of as boundaries or branes at the ends of the extra dimension. However, it is clear that a discrete subgroup of the translation survives (assuming that any interactions, whether large or small, localized on the two branes are equal), leading to the KK parity.

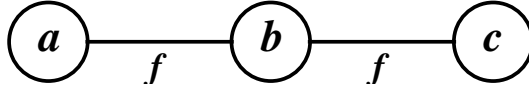
The picture of  $S^1/Z_2$  orbifold makes it clear that KK parity has a larger parent symmetry, the KK number conservation, which is broken only by the interactions living on the branes at the ends of the interval. In the literature on UED models, it is usually assumed that the brane-localized interactions are symmetric with respect to the  $Z_2$  reflection about the midpoint, so that KK parity is an exact symmetry. It is also assumed that they are suppressed (loop-induced), implying that KK number is still an approximate symmetry. These assumptions have very important phenomenological implications, as both KK parity and the approximate KK number conservation are needed to evade precision electroweak constraints for UED models; KK parity eliminates couplings of a single odd KK mode with the SM field, whereas the approximate KK number conservation suppresses certain interactions among the even level KK modes, such as single coupling of the 2nd KK mode with the SM, which are not forbidden by KK parity. In the end, both the odd and even KK modes are allowed to have masses well below 1 TeV. If there were only KK parity and not the approximate KK number conservation, experimental constraints would have required the 2nd KK mass to be higher than 2 - 3 TeV and, therefore, the compactification scale to be around 1 TeV or higher (recall that in flat geometry KK modes are evenly spaced).

One should keep in mind that the flatness of profiles in UED is not natural and reflects the fact that electroweak symmetry breaking is not addressed but just postulated. A model of dynamical symmetry breaking in UED would typically spoil the flatness of the Higgs profile and constraints on the KK scale would have to be reexamined accordingly (a somewhat related discussion on the little hierarchy problem in UED is presented in [28]). The virtue of UED is that mass scales of new particles are allowed to be very close to the electroweak scale at a few hundreds GeV, allowing for easy access at the LHC, even though the model addresses neither the Planck-weak nor the fermion mass hierarchy as it stands in the literature.

Since the KK number conservation which prevents the 2nd KK mode from giving large electroweak corrections has its origin in the flat background geometry in the extra dimension, it is clear that it will be lost in a curved background. As a consequence, if we want to implement KK parity in a warped extra dimension, all the higher even KK modes will have un-suppressed couplings with the SM and be required to be heavier than 2

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the orbifold projection. However, one could instead work on the line interval without referring to the orbifold at all. We come back to this when discussing bulk fermion mass.



**Figure 1:** Three-site deconstruction of the warped extra-dimension.

- 3 TeV, as dictated by the model-independent analysis. On the other hand, all KK modes odd under KK parity still need to couple in pairs to the SM and can only contribute to electroweak observables at the loop level.

Contrary to UED, a warped extra dimension allows us to investigate various UV sensitive questions such as the Planck-weak hierarchy problem. However, before going into a full-fledged extra-dimensional setup, it is instructive to consider a low-energy effective description involving only up to the 2nd KK mode of the gauge boson. Since higher KK modes might be too heavy to be accessible at the LHC, such an effective theory may be all that matters at the collider experiments and we present this discussion in the next section.

### 3. Three-site toy model

In essence, the low-energy effective theory amounts to a three-site deconstruction [29, 30] of the warped extra dimension; see figure 1. The gauge symmetry at each site is denoted  $G_i$ ,  $i = a, b, c$ , with corresponding gauge bosons  $A_\mu^{(i)}$ . In general, the gauge coupling constants and the decay constants can be different at each site, unlike in the case of flat background geometry. However, the KK parity, which in the current setup is the geometric reflection  $a \leftrightarrow c$ , ensures that the gauge couplings on the two boundary sites as well as the two decay constants are equal. It is then straightforward to work out the low-energy spectrum of the three-site model. Defining the zero-mode gauge coupling to be

$$\frac{1}{g_0^2} = \frac{2}{g_a^2} + \frac{1}{g_b^2}, \quad (3.1)$$

the mass eigenvalues and eigenstates are

$$m_0 = 0, \quad m_{1-} = g_a f, \quad m_{1+} = \sqrt{g_a^2 + 4g_b^2} f, \quad (3.2)$$

and

$$\begin{aligned} A_\mu^{(0)} &= \frac{g_0}{g_a} \left( A_\mu^{(a)} + A_\mu^{(c)} \right) + \frac{g_0}{g_b} A_\mu^{(b)}, \\ A_\mu^{(-)} &= \frac{1}{\sqrt{2}} \left( A_\mu^{(a)} - A_\mu^{(c)} \right), \\ A_\mu^{(+)} &= \frac{g_0}{\sqrt{2}g_b} \left( A_\mu^{(a)} + A_\mu^{(c)} \right) - \frac{\sqrt{2}g_0}{g_a} A_\mu^{(b)}. \end{aligned} \quad (3.3)$$

As a first check, we see both the zero-th and second KK modes are even under the KK parity,  $a \leftrightarrow c$ , whereas the first KK mode is odd. Furthermore, we see that the KK masses

are controlled by the gauge couplings on the boundary and the middle sites. Two particular limits we are interested in are

$$\frac{g_a}{g_b} \gg 1 \Rightarrow \frac{m_{1-}}{m_{1+}} \approx 1 - \frac{2g_b^2}{g_a^2} \approx 1; \tag{3.4}$$

$$\frac{g_a}{g_b} \ll 1 \Rightarrow \frac{m_{1-}}{m_{1+}} \approx \frac{1}{2} \frac{g_a}{g_b} \ll 1. \tag{3.5}$$

In the first case when the gauge coupling at the boundary is much larger than the coupling in the middle, the two massive KK modes are roughly degenerate. In the other case when the coupling in the middle site is much larger than the coupling on the boundary, the odd KK mode is “anomalously” light compared to the 2nd KK mode and there can be a sizeable hierarchy between the two KK modes.

If we view the three-site model as deconstruction of the warped extra dimension, the two limiting cases actually correspond to two opposite types of warped geometries. It is useful to observe that the massless wave function in eq. (3.3) is always localized where the gauge coupling is smaller; the wave function is localized near the boundary sites if  $g_a \ll g_b$  and the middle if  $g_a \gg g_b$ . The massive modes, on the other hand, are localized away from where the gauge coupling is small due to orthogonality conditions. In models with warped extra dimension, it is well-known that the massive modes are localized toward the IR region [16, 15]. The above observation suggests that, in the case of  $g_a/g_b \gg 1$ , the two boundary sites mimic the IR region whereas the middle site is the UV region. In other words, it would correspond to an IR-UV-IR warp factor which is symmetric with respect to the reflection about the middle site. This geometric  $Z_2$  symmetry again serves as the source of the KK parity in our setup of warped extra dimension. The other case of  $g_a/g_b \ll 1$  then corresponds to the opposite situation in which the two boundaries correspond to the UV region. This is the UV-IR-UV setup.

Another way of understanding the same statement is through the fact that the smaller gauge coupling at a particular site implies that the strong coupling scale (the Landau pole) of the gauge theory is higher. In the warped extra dimension, a local cutoff, where the theory becomes strongly coupled, at a particular location, is determined by the warp factor at that point, so that the UV region has a higher local cutoff than the IR region. Then, we arrive at the same conclusion that, without additional contribution from brane-localized kinetic terms, that could generate some hierarchy between the boundary and bulk couplings, the IR-UV-IR setup will lead to (almost) degenerate first (odd) and second (even) KK gauge bosons, whereas in the UV-IR-UV setup there could be a (little) hierarchy between the odd and even KK modes.

#### 4. IR-UV-IR model

In order to obtain a warp factor which is symmetric with respect to reflection about the midpoint of the extra dimension, we consider joining two slices of AdS<sub>5</sub> since a single slice does not have such a symmetry.<sup>3</sup> Clearly there are two distinct ways to do this. We can

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<sup>3</sup>Setups with more than one slice of AdS<sub>5</sub> space have been discussed in refs. [31, 32], even though a symmetric warp factor was not considered.

glue the two slices either in the UV or in the IR region. We begin with the first possibility, labeled as the IR-UV-IR model.

The metric of the 5D background spacetime resulting from gluing two AdS<sub>5</sub> slices at the UV brane is

$$ds^2 = dy^2 + a^2(|y|)dx^2, \tag{4.1}$$

where  $y \in [-L, L]$  is the extra dimension and  $a(y) = e^{-ky}$  is the warp factor. In order to obtain a Planck-Weak hierarchy, we choose  $kL \sim 30$ . Notice that in the conventional models of single slice AdS<sub>5</sub> the extra dimension is only  $y \in [0, L]$ . This constitutes a solution of the 5D Einstein's equations in the presence of a negative bulk cosmological constant, a positive tension midpoint (the UV brane) and two IR branes with equal negative tensions. In such a setup the kinetic term of the massless radion has the correct sign and the radius can be stabilized (i.e., radion made massive) by a suitable mechanism as usual [33]. Therefore, there are no problematic stability issues associated with the IR-UV-IR model as opposed to the UV-IR-UV model described in the next section.

We assume the  $Z_2$  parity in interchanging  $y \rightarrow -y$  is an exact symmetry of the 5D theory.<sup>4</sup> In such a case, the eigenmodes can be divided into two classes with different symmetry properties: even modes, whose profiles are symmetric under reflection around the mid-point, and odd modes with anti-symmetric profiles. Obviously, the even and odd profiles are orthogonal to each other on the  $[-L, L]$  interval. As long as the action respects the exact  $Z_2$  symmetry, the odd modes can only couple in pairs to the even modes in the KK decomposition and the low-energy, four-dimensional effective theory has the KK parity we desire for.

By continuity, the odd modes satisfy the Dirichlet boundary conditions, henceforth denoted by  $(-)$ , at the UV brane. Similarly, the even modes have Neumann  $(+)$  boundary conditions (in the presence of UV brane localized terms mixed boundary conditions (BC) arise). This observation suggests a useful description of the model by referring to the spectrum of a *single* slice of AdS<sub>5</sub>. Namely, the spectrum of a bulk field in the  $Z_2$ -symmetric model contains two single-slice KK towers corresponding to  $(+)$  and  $(-)$  boundary conditions in the UV. For example, a bulk field with Neumann boundary conditions in IR would have both  $(++)$  and  $(-+)$  towers, where the first (second) sign is the BC on the UV (IR) brane. Note however that the physical volume of the extra dimension in our setup is twice as large as in the single-slice description, which affects the normalization of the wave functions.

At this point we already have a model combining warped geometry and KK parity. This is not the end of the story, however. As outlined in the previous section, one of our objectives is to obtain fairly light odd  $(-+)$  modes (we would like these modes to cut off the quadratic divergences in the Higgs mass) and sufficiently heavy  $(++)$  KK modes (so as to evade tight constraints from the precision electroweak tests). Unfortunately, in the simplest

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<sup>4</sup>If the Chern-Simons term is present in 5D, it is necessarily odd under  $Z_2$ . This would affect stability of the dark matter particle, as pointed out in [34]. The Chern-Simons term could arise in the presence of brane-localized anomalies [35]. In the following we will assume that all brane-localized anomalies cancel and no Chern-Simons terms are present.



version with no brane kinetic terms the even and odd KK modes are quite degenerate, as exemplified in the three-site model in the previous section. Both modes have masses of order  $m_{\text{KK}} = ke^{-kL}$  with their relative splitting being of order  $\sim 1/(kL) \sim 1/30$ . Another way to understand the degeneracy is that the AdS geometry localizes KK modes near the IR brane so that their spectrum is little sensitive to the UV brane boundary conditions. As we discuss next, a splitting between even and odd *gauge* KK modes can be obtained with very large IR brane kinetic terms (BKT), which in turn have important implications on the strong coupling scale in the 5D setup.

#### 4.1 Gauge bosons with large IR brane kinetic terms

We consider the spectrum of *gauge* KK modes. A similar analysis can be performed for other fields. We follow the notation of ref. [36] for the BKT's (see also ref. [37]). The 5D action is

$$S = - \int d^4x \int_{-L}^L dy \sqrt{-g} \frac{1}{4g_5^2} \left[ F^{MN} F_{MN} + 2r_{UV} F^{\mu\nu} F_{\mu\nu} \delta(y) \right. \\ \left. + 2r_{IR} F^{\mu\nu} F_{\mu\nu} \delta(y-L) + 2r_{IR} F^{\mu\nu} F_{\mu\nu} \delta(y+L) \right], \quad (4.2)$$

where  $g$  is the determinant of the metric, and capital Latin letters  $M, N = 0, 1, 2, 3, 5$  refer to the 5D coordinates, whereas lower case Greek letters  $\mu, \nu = 0, 1, 2, 3$  refer only to the four uncompactified directions. The strengths of the BKT on the two boundary IR branes are required to be equal by the  $Z_2$  symmetry. Furthermore, each delta function on the boundary brane contributes only a factor of 1/2 when performing the  $y$  integration.

Choosing the gauge  $A_5 = 0$ , we perform the KK decomposition by expanding

$$A_\mu(x, y) = \sum_n A_{\mu,n}(x) f_n(y), \quad (4.3)$$

where the bulk wave function  $f_n(y)$  satisfies

$$\partial_y \left[ e^{-2k|y|} \partial_y f_n(y) \right] \\ + m_n^2 [1 + 2r_{UV} \delta(y) + 2r_{IR} \delta(y-L) + 2r_{IR} \delta(y+L)] f_n(y) = 0, \quad (4.4)$$

$$\frac{1}{g_5^2} \int_{-L}^L dy [1 + 2r_{UV} \delta(y) + 2r_{IR} \delta(y-L) + 2r_{IR} \delta(y+L)] f_n^2(y) = 1. \quad (4.5)$$

The  $Z_2$  symmetry,  $y \leftrightarrow -y$ , inherited from the 5D action implies that bulk profiles are either even or odd under the reflection in the  $y$ -direction,  $f_n(y) = \pm f_n(-y)$ . Therefore, we could rewrite the KK decomposition as

$$A_\mu(x, y) = \sum_{n_+, n_-} A_{\mu, n_+}(x) f_{n_+}(|y|) + A_{\mu, n_-}(x) \epsilon(y) f_{n_-}(|y|) \quad (4.6)$$

where  $f_{n_+}$  and  $f_{n_-}$  are the even and odd modes, respectively, and  $\epsilon(y)$  is +1 (-1) for  $y > 0$  ( $y < 0$ ).

Because of the warp factor  $\exp(-2k|y|)$  in the equation of motion, one solves eq. (4.4) separately for  $y > 0$  and  $y < 0$ , imposes Neumann boundary conditions (mixed boundary

conditions, in the presence of IR BKTs) at  $y = \pm L$  to ensure a massless zero mode, and matches the solutions at  $y = 0$  as implied by the delta functions in eq. (4.4). When  $r_{UV} = 0$ , the “continuity conditions” at  $y = 0$  are simply

$$f_{n_-}(0) = 0, \quad \partial_y f_{n_+}(0) = 0. \tag{4.7}$$

As emphasized earlier, the above equation shows that a single bulk field in the IR-UV-IR setup would encompass modes that have both Dirichlet and Neumann boundary conditions on the UV brane, and we can simply “borrow” the results from the single-slice AdS<sub>5</sub> model by considering both types of boundary conditions. In fact, using the  $Z_2$  reflection symmetry, the 5D action in the IR-UV-IR setup in eq. (4.2) can be re-written as

$$S = - \int dx^4 \int_0^L dy \sqrt{-g} \frac{1}{4\tilde{g}_5^2} \left[ F^{MN} F_{MN} + 2r_{UV} F^{\mu\nu} F_{\mu\nu} \delta(y) + 2r_{IR} F^{\mu\nu} F_{\mu\nu} \delta(y-L) \right], \tag{4.8}$$

where the integration in  $y$  is only from 0 to  $L$  and  $\tilde{g}_5^2 = g_5^2/2$ . It is then clear that this is the 5D action of a single-slice AdS<sub>5</sub> with a re-defined 5D gauge coupling  $\tilde{g}_5 = g_5/\sqrt{2}$ , where the factor of  $\sqrt{2}$  represents the fact that the physical volume in the  $y$ -direction is actually twice as large as being integrated in eq. (4.8). Now it is straightforward to construct the solutions to eqs. (4.4) and (4.5) by considering the equations of motion in the single-slice setup with  $y \in [0, L]$ :

$$\partial_y (e^{-2ky} \partial_y f_n) + m_n^2 f_n = 0 \tag{4.9}$$

$$\frac{1}{\tilde{g}_5^2} \int_0^L dy [1 + 2r_{UV} \delta(y) + 2r_{IR} \delta(y-L)] f_n^2(y) = 61. \tag{4.10}$$

and the boundary conditions

$$e^{-2kL} \partial_y f_{n_{\pm}}(L) = m_{n_{\pm}}^2 r_{IR} f_{n_{\pm}}(L) \tag{4.11}$$

$$\partial_y f_{n_+}(0) = -m_{n_+}^2 r_{UV} f_{n_+}(0) \tag{4.12}$$

$$f_{n_-}(0) = 0 \tag{4.13}$$

The normalization in eq. (4.10) is consistent with eq. (4.5) after taking into account  $\tilde{g}_5 = g_5/\sqrt{2}$ .

The spectrum of the gauge boson in the IR-UV-IR setup now consists of two interlacing towers of modes, using the language of the single-slice model: the  $(++)$  tower, which is KK-even, and the  $(-+)$  tower, which is KK-odd. A massless mode in the  $(++)$  tower always exists, irrespectively how large the BKTs are. We also have two towers of (roughly) equal-spaced KK modes starting at  $\sim m_{\text{KK}} = ke^{-kL}$ . In addition, each tower has a parametrically lighter massive state. For  $r_{IR} \gg 1/k$  we find the approximate expression

$$m_{1-}^2 \approx \frac{2}{kr_{IR}} m_{\text{KK}}^2 \tag{4.14}$$

$$m_{1+}^2 \approx \frac{r_{UV} + r_{IR} + L}{r_{UV} + L} \frac{2}{kr_{IR}} m_{\text{KK}}^2 \tag{4.15}$$

As we can see, the lightest KK mode in each tower has its mass suppressed with respect to  $m_{\text{KK}}$ . Of more importance to us is that we can split the lightest even and odd modes. The ratio is

$$\frac{m_{1+}}{m_{1-}} \approx \sqrt{1 + \frac{r_{IR}}{r_{UV} + L}} \quad (4.16)$$

Let us consider the effects of UV and IR BKT's in turn. As mentioned earlier, in the absence of BKT's, the even and odd modes are quite degenerate since they are localized away from the UV brane so they are insensitive to the different BC's there (and BC's on IR brane are the same). It is clear that very large UV BKT's, which affect only  $(++)$  modes, could compensate the small UV brane wavefunction and modify the spectrum of  $(++)$  modes relative to  $(-+)$  ones. However, we see from eq. (4.16) that for fixed IR BKT's, UV BKT's in fact tend to *reduce* the splitting between even and odd KK modes. It turns out that positive BKTs tend to repel massive KK modes away from the brane [36, 37] so that very large UV BKTs will effectively convert  $(+)$  BC on the UV brane into  $(-)$  BC, i.e., make the 2 towers even more degenerate. Negative  $r_{UV}$  increases the mass splitting, but it also leads to the appearance of a ghost (or the Landau pole in the UV brane propagator) at the intermediate scale  $\sim ke^{-k|r_{UV}|}$ . We cannot obtain a sizable splitting this way without lowering the UV brane cut-off very much. In the following we will set UV BKTs to be small or zero since they do not give the desired effects.

Consider next the effect of positive IR BKT's. In the absence of BKT's, even and odd KK modes are localized near the IR brane. The BC (hence the wavefunction) being the same on the IR brane for the even and odd towers, we might expect the effect of IR BKT's on the two towers to be similar and therefore not lead to mass splitting. However, large positive IR BKTs tend to repel the massive wave functions away from the IR brane, pushing them toward the UV brane. In this case, the spectrum would then become more sensitive to the BCs on the UV brane [which are different for the  $(++)$  and  $(-+)$  modes], hence lead to a larger splitting between the two modes. However, to actually end up repelling the KK modes away from the IR brane, the BKT's have to overcome the "pressure" from AdS geometry to localize KK's near the IR brane. Only very large IR BKT's,  $kr_{IR} \gg kL$ , lead to a large splitting between even and odd modes. To be precise:

$$\frac{m_{1+}}{m_{1-}} \sim \sqrt{\frac{kr_{IR}}{kL}} \quad (4.17)$$

The need for such a size of IR BKT's can be understood using the idea of the holographic RG flow. As explained in ref. [38], moving the UV brane by the infinitesimal proper distance  $\epsilon$  toward the IR brane induces a brane kinetic term on the UV brane with a coefficient  $\propto \epsilon/g_5^2$ . Moving the UV brane very close the IR brane we find that AdS without any brane kinetic terms is equivalent to flat space with large brane kinetic terms  $\sim L/g_5^2$  on one brane. Now, in the AdS model with large IR BKT's (but no UV BKT's to begin with), there is a competition between the UV brane terms *induced* via the holographic RG (which repel KK modes away from the UV brane) and the IR brane terms (which repel KK modes away from the IR brane) – clearly the latter "win" for  $r_{IR} \gg L$ . Because of that repulsion away from the IR brane, the *even* gauge KK spectrum is effectively given by

(+-) (in addition to a zero-mode which is effectively localized near the IR brane). With such boundary conditions, there is the tower of KK modes starting at  $m_{\text{KK}}$ . In addition, there is a light mode whose mass is parametrically suppressed with respect to the KK scale,  $m_{1+} \sim m_{\text{KK}}/(kL)^{1/2}$ , as is well known from analysis of Higgsless models in AdS<sub>5</sub> [39]. Its mass is set by the zero-mode coupling in absence of large BKTs which is the origin of the  $(kL)^{1/2}$ . This feature follows from the fact that this is a would-be zero mode (it would have been a zero-mode were it not for the effectively Dirichlet boundary condition on the IR brane) and its profile is almost flat except near the IR brane where it is suppressed (see below). Similarly, the odd gauge KK spectrum is effectively (--), plus a would-be zero-mode localized near the IR brane. Moreover, the fifth component  $A_5$  has effectively (++) BC, which yields a massless scalar mode that marries the would-be vector zero-mode. As a consequence, the vector mass is set by the IR brane-localized gauge coupling and thus the suppression factor in this mass is  $(kr_{\text{IR}})^{1/2}$ .

The profile of the lightest modes can be approximated by

$$f_0(y) \approx \frac{\tilde{g}_5}{\sqrt{r_{\text{IR}}}} \tag{4.18}$$

$$f_{1-}(y) \approx \frac{\tilde{g}_5}{e^{2kL}\sqrt{r_{\text{IR}}}} \left( e^{2ky} - 1 \right) \tag{4.19}$$

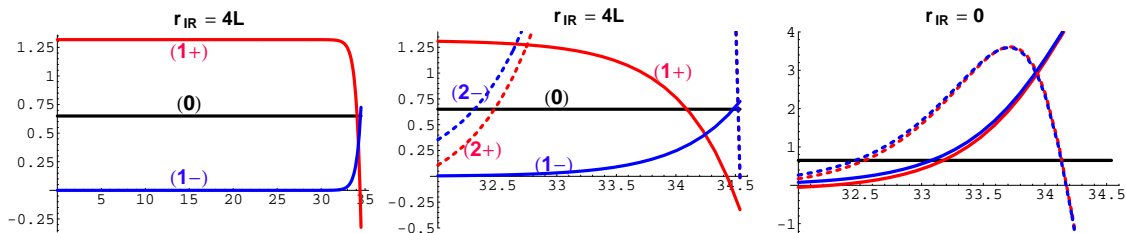
$$f_{1+}(y) \approx \frac{\tilde{g}_5}{\sqrt{L+r_{\text{UV}}}} \left( 1 - \frac{1}{2k} m_{1+}^2 (y+r_{\text{UV}}) e^{2ky} \right). \tag{4.20}$$

It is important to remember that the wave functions here are written in terms of the “re-defined” 5D gauge coupling  $\tilde{g}_5$  in the single-slice AdS<sub>5</sub> action in eq. (4.8). In the original formulation of IR-UV-IR setup in eq. (4.2),  $g_5 = \sqrt{2}\tilde{g}_5$ , which would result in a suppression factor of  $1/\sqrt{2}$  in the wave functions and account for the fact that the physical volume in the extra dimension in the IR-UV-IR setup is twice as large as in the single-slice AdS<sub>5</sub>. Here we see that the zero-mode is flat and its normalization dominated by the IR BKT so that it is *effectively* localized near IR brane. The zero mode gauge coupling, one of the low-energy observables, is related to the 5D gauge coupling by  $g_0 \approx g_5/\sqrt{2r_{\text{IR}}}$ . Again this is different from the case of a single-slice AdS<sub>5</sub> setup by the volume factor. As illustrated in figure 2, the first odd mode is peaked at the IR brane, while the first even mode is almost flat everywhere except when it is near the IR brane where the wave function is suppressed. From the profiles of the wave functions one sees that, for  $kL \gg 1$ , the first odd mode couples to the IR brane with a similar strength to the zero mode,  $f_0(L) \approx f_{1-}(L) \approx g_5/\sqrt{2r_{\text{IR}}}$ , whereas its coupling to the UV brane is zero. On the other hand, comparing to zero mode, the even mode coupling to the IR brane is suppressed,

$$\frac{f_{1+}(L)}{f_0(L)} \approx \sqrt{\frac{r_{\text{UV}}+L}{r_{\text{IR}}}} \approx \frac{m_{1-}}{m_{1+}}, \tag{4.21}$$

while its coupling to the UV brane is *enhanced* (we will use this fact later on):

$$\frac{f_{1+}(0)}{f_0(0)} \approx \sqrt{\frac{r_{\text{IR}}}{r_{\text{UV}}+L}} \approx \frac{m_{1+}}{m_{1-}}. \tag{4.22}$$

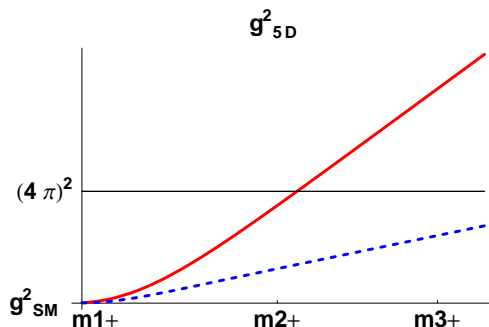


**Figure 2:** Left: Gauge boson wave functions along extra dimension for first even ( $1_+$ ) mode (red), first odd ( $1_-$ ) mode (blue) and zero ( $0$ ) mode (black). Middle: Profiles are zoomed near the IR brane and we added in dashed lines the level-2 KK modes for comparison. Right: Same as middle plot but switching off the IR BKT. The first odd mode is more strongly coupled to the IR brane while the first even mode is less suppressed than in the case with IR BKT.

Such behaviors for the first KK-even mode have been observed in refs. [36, 37] and can be understood from the repulsion of the corresponding wave functions away from the branes due to the BKT's. On the other hand, the zero-modes (including the lightest odd mode which corresponds to a “would-be” zero-mode) are not similarly repelled. In the IR-UV-IR setup we found that the enhancement and suppression of the coupling of lightest even KK mode to the UV and IR branes, respectively, is correlated with the mass splitting  $m_{1_+}/m_{1_-}$ .

Even though very large IR BKT's result in a sizable ratio between the first even and odd KK modes, which is desirable from the phenomenological viewpoint, it would also imply the 5D gauge coupling  $g_5$  is large due to the relation  $g_0 = g_5/\sqrt{2r_{IR}}$ , assuming that the zero-mode couples with the SM strength. Therefore, if one demands the UV/IR hierarchy to be Planck-weak and/or the ratio  $m_{1_+}/m_{1_-}$  to be sizable, 5D perturbativity may become an issue of concern. The strong coupling scale in the IR-UV-IR model can be estimated using the results from the single-slice AdS<sub>5</sub> setup by taking into account two facts: First, the physical volume in the IR-UV-IR model is twice as large, which is reflected in the normalizations of the wave functions as well as the relation  $g_5 = \tilde{g}_5/\sqrt{2}$ . Secondly, a single bulk field in the IR-UV-IR model contains two towers of KK modes, both  $(++)$  and  $(-+)$  BCs, in the single-slice setup. Consider an Euclidean propagator between two points  $y_{1,2} \sim L$  in 4D momentum space. It can be represented as  $ig_{\text{eff}}^2(p^2)/p^2$ . At low energies, below the lightest KK mass we have  $g_{\text{eff}}^2 \approx g_0^2$ , but above the KK scale the effective coupling grows with energy, which in the single-slice AdS<sub>5</sub> setup is [36]  $g_{\text{eff}}^2 \approx e^{kL}\tilde{g}_5^2 p$  for one type of BC's.<sup>5</sup> In the IR-UV-IR setup the growth is twice as large because both types of BC's are included. Therefore, defining the strong coupling scale  $\Lambda$  by  $g_{\text{eff}}^2(\Lambda) = 16\pi^2$ , one arrives at

<sup>5</sup>Note that, as seen in figure 2, the regularly spaced heavy KK modes (both odd and even) with mass  $\sim m_{\text{KK}}$  tend to vanish at the IR brane due to the repulsion by very large BKT's. So, if we consider the propagator between two points localized exactly on the IR brane, we will not find the above growth with energy since the heavy modes do not contribute to this propagator. In order to include the effects of these heavier KK modes giving the above growth of the effective coupling with energy, we must consider the propagator with endpoints which are  $\sim 1/k$  (which is roughly the width of these KK profiles) away from the IR brane thus accounting for the use of a smearing factor in figure 3.



**Figure 3:** The position dependent propagator smeared with  $a^{-1}$  (solid red). It hits the strong coupling scale at the second heavy KK mass. For comparison, IR brane-to-brane propagators in the absence of IR BKT (dashed blue).

the estimate

$$\Lambda \sim e^{-kL} \frac{16\pi^2}{g_5^2} \sim \frac{8\pi^2}{kLg_0^2} m_{\text{KK}} \left( \frac{m_{1-}}{m_{1+}} \right)^2 \quad (4.23)$$

where we used  $g_0 \approx g_5/\sqrt{2r_{\text{IR}}}$  and  $m_{1+}/m_{1-} \approx \sqrt{r_{\text{IR}}/L}$ . For example, setting  $g_0^2 \sim 1/2$ ,  $m_{1-}/m_{1+} \sim 1/2$  and  $kL \sim 30$ , we get  $\Lambda \sim m_{\text{KK}} \sim$  tens of TeVs. The strong coupling scale is far above the masses of the lightest even and odd KK modes, however it is not separated from the scale where the tower of evenly spaced KK modes sets in. These estimates are confirmed by the numerical analysis in figure 3. Thus there is no energy regime where the theory is effectively five-dimensional and weakly coupled (for that we would need  $\Lambda \gg m_{\text{KK}}$ ). As a compromise, we might need to lower the UV brane scale to some intermediate scale (i.e., choose smaller  $kL$ ) in which case we lose the solution to the Planck-weak hierarchy problem, but we can still easily address the hierarchy between the weak scale and (at least) the flavor scale  $\sim 1000$  TeV.

## 4.2 Fermions

The Lagrangian for the fermions

$$\mathcal{L}_f = \bar{\psi} \Gamma^M (D_M - \epsilon(y)ck) \psi \quad (4.24)$$

has the  $Z_2$  symmetry  $y \rightarrow -y$  with  $\psi_{L,R} \rightarrow \gamma_5 \psi_{L,R}$ . In the above  $\{\Gamma^M\}$  are the 5D Dirac matrices and  $D_M$  is the covariant derivative. As is familiar from the RS1 and UED setups, a bulk fermion mass term is odd under the reflection in  $y \rightarrow -y$ , therefore we need to include a bulk mass profile that is odd under  $y \rightarrow -y$  and introduce the  $c$  parameter such that  $M_b = \epsilon(y)ck$ . Notice however that in the conventional either flat or warped extra-dimensional setups, the physical domain is only from 0 to  $L$  after the orbifold projection. So even though the bulk mass profile is odd under  $y \rightarrow -y$ , the mass term itself is constant over the whole physical domain in  $[0, L]$ . In our case, the physical domain has been extended from  $[0, L]$  to  $[-L, L]$  and the mass profile would in fact include a jump at  $y = 0$ . At this stage we will not be concerned with the detailed origin of such a mass profile except to note

that a plausible source could arise from coupling to a scalar with a kink profile, similarly to the orbifold setup in ref. [40].

As shown below, for the fermions we do not need BKTs to obtain a splitting between even and odd KK modes, so we omit them in most of the following discussion. The IR boundary conditions require vanishing of one chiral component on the boundaries. Consider the case when the right-handed component vanishes:  $\psi_R(L) = 0$ ; in this case there is a massless zero mode for the left-handed component. The discussion for the case  $\psi_L(L) = 0$  is in parallel, with  $c \rightarrow -c$ .

Like the gauge field, a 5D fermion contains two KK towers with different UV boundary conditions:

$$\begin{aligned} \psi_L(x, y) &= \sum_{n_+, n_-} a^{-3/2} f_{L, n_+}(|y|) \psi_{L, n_+}(x) + \epsilon(y) a^{-3/2} f_{L, n_-}(|y|) \psi_{L, n_-}(x) \\ \psi_R(x, y) &= \sum_{n_+, n_-} \epsilon(y) a^{-3/2} f_{R, n_+}(|y|) \psi_{R, n_+}(x) + a^{-3/2} f_{R, n_-}(|y|) \psi_{R, n_-}(x) \end{aligned} \quad (4.25)$$

where the profiles satisfy the following coupled, first-order equations of motion

$$\left( \partial_y + \frac{a'}{2a} + M_b \right) f_{L, n} = m_n a^{-1} f_{R, n} \quad (4.26)$$

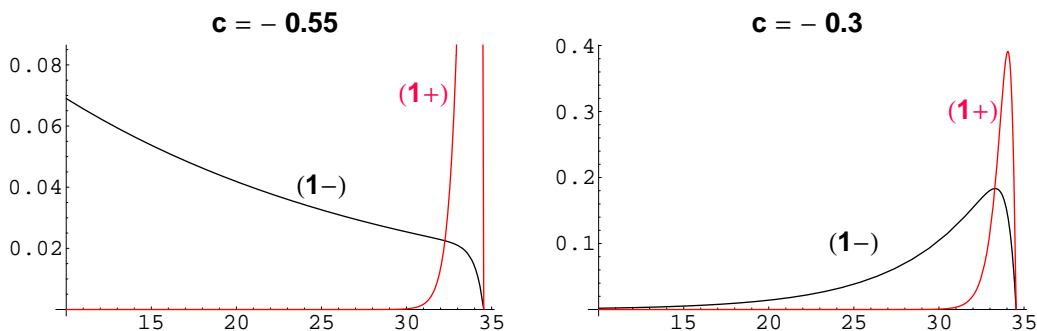
$$\left( -\partial_y - \frac{a'}{2a} + M_b \right) f_{R, n} = m_n a^{-1} f_{L, n}. \quad (4.27)$$

The massless zero mode  $f_{L,0}(y)$  is even under reflection  $y \rightarrow -y$ . For massive modes, the equations of motions imply that when the left-handed component has a symmetric profile under reflection, the corresponding right-handed chirality has an anti-symmetric profile, and vice versa. We insert an extra  $(-1)$ , in addition to the reflection in  $y \rightarrow -y$ , in the definition of KK-parity for the right-handed chirality. In the language of the orbifolding, this extra minus sign could arise from performing the orbifold projection and is consistent with the definition of KK-parity in UED.

With the above definition of KK-parity, the *even* tower has right-handed components that are anti-symmetric in  $y \rightarrow -y$  and the ‘‘continuity condition’’  $f_{R, n_+}(0) = 0$ , which can be interpreted as the boundary condition on the UV brane. The left-handed zero mode has the profile  $f_{L,0} \approx e^{(1/2-c)ky}$ , which is localized towards UV for  $c > 1/2$  and towards IR for  $c < 1/2$ . The massive KK-even modes start at  $\sim m_{\text{KK}}$  for all values of  $c$ . For the *odd* tower the continuity condition reads  $f_{L, n_-}(0) = 0$ . The mass of the lightest odd state is

$$\begin{aligned} m_{1-} &\sim \frac{m_{\text{KK}}}{\sqrt{kL}} \text{ to } m_{\text{KK}} & c \gtrsim -1/2 \\ m_{1-} &\sim m_{\text{KK}} e^{kL(1/2+c)} & c < -1/2 \end{aligned} \quad (4.28)$$

Thus, choosing  $c < -1/2$  we can generate a sizable splitting between the lightest even and odd KK modes without resorting to BKTs. In that case the RH profile is localized toward UV:  $f_{R, 1-} \sim e^{(1/2+c)ky}$  (see figure 4). Note that the splitting can only be achieved if the corresponding zero mode fermion is sharply localized at the IR brane. As is clear from the discussion, the zero mode fermion has  $(++)$  BC’s on the (UV, IR) branes. Changing its



**Figure 4:** Profiles of first odd (black) and even (red) KK fermions with RH chirality for two values of  $c$ .

BC's from  $(++)$  to  $(-+)$  produces a would-be zero mode that is very light, as the wave function is localized near the IR and insensitive to the BC at the UV, which is nothing but the lightest odd mode. Typically, naturalness arguments require only the top quark KK modes below  $\lesssim 1$  TeV and the top quark is always localized toward IR, naturally giving light odd KK modes for it. Hence, the even-odd splitting for KK fermions that we obtain by choosing  $c$  appropriately is sufficient for our purpose (this is different from the gauge case where the introduction of large BKT's is necessary).

For the light fermions there are two options: they can be localized near the UV or near the IR brane. The former setup allows us to simply address the Yukawa hierarchy and flavor issues, but, as we show below, is more constrained by EW data.

- **Light fermions near the UV brane.**

The light fermions can be localized near the UV brane by choosing the corresponding 5D mass parameter  $c > 1/2$ . This yields naturally small couplings to the Higgs localized in IR and so the flavor hierarchy is addressed [14, 15]. At the same time, a severe flavor problem is avoided [15, 17]. However, in this case, the coupling of light fermions to the *lightest* even gauge KK mode is enhanced compared to the SM gauge coupling. From eq. (4.22), the coupling is given approximately by  $g_5/\sqrt{2L}$  which is enhanced with respect to the zero-mode coupling,  $g_5/\sqrt{2r_{IR}}$ , by a factor equal to the splitting between even and odd gauge KK's. Integrating out the lightest even gauge boson will induce 4-fermion (flavor-preserving) operators with the coefficient given by  $\sim g_5^2/(2L) \times m_+^{-2} \sim g_0^2/m_-^2$ . Since the limit on the mass scale suppressing 4-fermion operators is a few TeV [1], the EW data constrain the mass of the *odd* mode to be  $\gtrsim$  a few of TeV. Thus, with the light fermions on the UV brane there is a tension between naturalness and electroweak precision data.

- **Light fermions away from the UV brane.**

The alternative is to localize the light fermions away from the UV brane such that their coupling to the lightest even gauge KK mode is suppressed. Such a localization for zero-mode fermions can be achieved either (i) in the standard way by choosing





**Figure 5:** KK mass spectrum. The first tower is for gauge bosons ( $r_{IR} = 4L$ ). The last three towers are for fermions with different  $c$  parameters. The  $n = 1$  modes are black and the  $n = 2$  are pink. Each tower contains two sub-towers, the left one is for KK parity-odd modes, the right one for KK parity-even modes.

$c < 1/2$  or (ii) keeping  $c \gtrsim 1/2$  and adding huge IR fermion kinetic terms<sup>6</sup>  $kr_F > e^{(2c-1)kL}$ . In the case of the light fermions localized very close to the IR brane, the coupling to the lightest even mode is smaller than the SM strength, see eq. (4.21). Consequently, constraints from four-fermion operators are not so stringent. In this case, the main constraint comes as usual from the S parameter and requires the lightest *even* mode to be heavier than a few TeV. In turn, the odd KK mode can still be lighter than a TeV in order to improve naturalness.

Nevertheless, with the light fermions localized in the IR, the flavor hierarchy is not addressed in the usual fashion as in refs. [14, 15]. We also expect a severe flavor problem: the four-fermion flavor-violating operators from integrating out the cut-off physics are generically too large, even though contributions from gauge KK exchange might be suppressed due to the latter’s repulsion from the IR brane where the light fermions are localized. Such large effects arise either from the cut-off suppressed operators in the bulk for the case (i), or are localized on the IR brane in the case (ii). To avoid flavor problems we should equip the model with additional flavor structures, see e.g. [12].

### 4.3 Dark matter

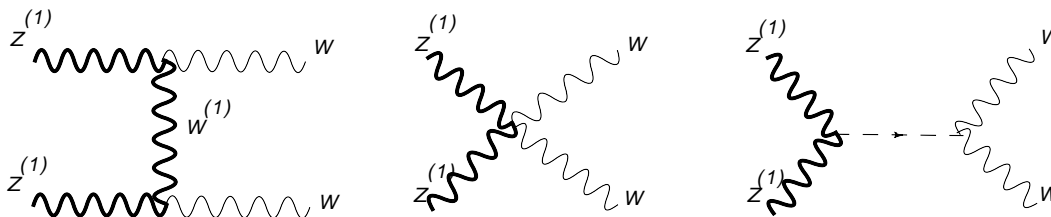
KK parity implies that the lightest KK-odd particle (LKP) is stable. There are two main possibilities: it could be either the lightest KK-odd gauge boson or the lightest KK-odd

<sup>6</sup>In this case, the fermionic profile is peaked towards the UV. However the dominant contribution to the normalization of the fermion zero-mode (and its coupling to gauge modes) comes from the IR localized kinetic term.

fermion (in the IR-UV-IR setup with large IR BKTs, the KK graviton is never the lightest mode). From our previous discussion and as illustrated in the spectrum of figure 5, the LKP can be a fermion if the  $c$  parameter is  $c \lesssim -1/2$ , that is when the zero mode is sharply localized toward the IR brane. From naturalness arguments, we expect the appearance of a light odd KK mode of the top quark. In particular, we expect that the only fermion having a  $c$ -value close to  $-1/2$  is the RH top quark. However, in order to be a viable dark matter candidate, the LKP has to be electrically neutral and should interact weakly and this discards the case where the lightest odd-KK top quark is the LKP. The only possibility for fermionic LKP dark matter would be the KK partner of the RH neutrino, assuming the RH neutrino has the smallest  $c \lesssim -1/2$ . This would mean the zero mode of the RH neutrino lives near the IR brane, which is not very well motivated since the neutrino is the lightest of the SM particles and we expect it to be localized in the UV. Therefore, in the following we do not consider the KK-odd fermion LKP case and we refer to [41, 42] for analysis of Dirac RH neutrino dark matter.

Having concentrated on the lightest gauge boson as the LKP, there remain several options that lead to different interactions of the LKP. Here we consider the situation in the KK parity symmetric version of the model of ref. [20] where the electroweak symmetry is extended to  $SU(2)_L \times SU(2)_R \times U(1)_X$  and contains custodial symmetry. The model contains three neutral gauge bosons,  $L_{1-}^3, R_{1-}^3, X_{1-}$ , and the LKP could be a combination of those. In our setup with large brane kinetic terms, the masses of the lightest gauge states depend in the first place on the relative size of the IR BKTs  $r_L, r_R, r_X$  for the three group factors. Unlike the minimal UED scenario, the one loop corrections to gauge boson masses play a secondary role (they are still relevant though, because they split the masses of charged and neutral gauge bosons). Generically, the LKP will be embedded in the group factor with the largest BKT. The annihilation cross section of the LKP can be very different, depending whether the LKP is embedded in  $R_{1-}^3$  or  $X_{1-}$ , or whether it lives in  $L_{1-}^3$ .

If the LKP is  $X_{1-}$ , it has no non-abelian gauge interactions whatsoever. If it is  $R_{1-}^3$  it does have non-abelian interactions, however vertices with the SM  $W$  boson (who lives in  $L_0^\pm$ ) are only induced by electroweak symmetry breaking and are very suppressed. Thus, both of these cases are similar and, using the UED nomenclature, we refer to both as the KK photon LKP. In UED, the KK photon annihilates dominantly into SM fermions with SM couplings [26] and its mass is predicted to be close to the 1 TeV scale to account for the observed dark matter abundance. In the model at hand, the situation is different due to different mass scales and non-trivial profiles along the extra dimension. The lightest KK-odd gauge boson is peaked toward the IR brane and it couples with the SM strength only to the SM fermions localized toward the IR brane. Furthermore, by  $Z_2$  parity conservation, the interaction vertex with the light fermion must involve an odd KK fermion. The latter are typically very heavy in our setup, unless the corresponding SM fermion is sharply localized on the IR brane ( $c < -1/2$ ). Thus, typically the LKP can annihilate efficiently only to top quarks. For this reason, the annihilation cross section into fermions will be too small to support a TeV mass dark matter particle, unless all SM fermions are sharply localized toward the IR.



**Figure 6:** Diagrams contributing to the annihilation of the KK  $Z$ . In the first diagram both  $t$  and  $u$  channels should be included. And in the case of the  $SO(4)$  model, both vector  $V^\pm$  and axial  $A^\pm$  charged gauge bosons are exchanged.

The possibility that the LKP is  $L_{1-}^3$ , which we refer to as the KK  $Z$ , appears more promising. In UED, KK  $Z$  is usually not considered as the LKP. The reason is that, without BKTs, the KK photon is lighter than KK  $Z$  due to one-loop corrections to KK masses [43]. In the present setup, however, there is no reason to reject the KK  $Z$  scenario. The most important point is that the KK  $Z$  has non-abelian gauge interactions with the SM  $W$  bosons. More precisely, we have the trilinear vertex:

$$\mathcal{L}_3 \approx -ig_L(\partial_\mu L_{1-,\nu}^3 - \partial_\nu L_{1-,\mu}^3)L_{1-,\mu}^+ W_\nu^- + \dots \quad (4.29)$$

and the coupling here is the SM  $SU(2)_L$  coupling. We also have the quartic vertex:

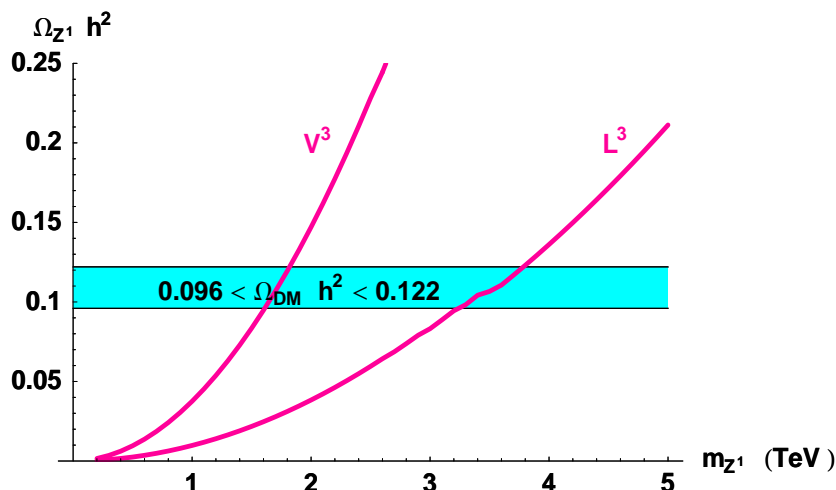
$$\mathcal{L}_4 \approx -g_L^2 L_{1-,\mu}^3 L_{1-,\mu}^3 W_\nu^+ W_\nu^- + \dots \quad (4.30)$$

In the above, we neglected the effects of electroweak symmetry breaking. These couplings lead to the annihilation diagrams shown in figure 6 and the annihilation cross section into  $W^+W^-$  is [44]

$$\sigma_{L^3 L^3 \rightarrow W^+ W^-} = \frac{g_L^4}{18\pi m^2 s^3 \beta^2} [-12m^4(s - 2m^2)L + s\beta(12m^4 + 3sm^2 + 4s^2)] \quad (4.31)$$

where  $\beta^2 = 1 - 4s^2/m^2$ ,  $L = \log[(1 + \beta)/(1 - \beta)]$ . The KK  $Z$  couples also to the Higgs boson which is localized on the IR brane. According to figure 2, the lightest odd gauge boson couples with the same strength as the zero mode to the IR brane. Thus, the coupling to the Higgs has the SM strength. Annihilation via the Higgs boson yields only a small correction (however, the coupling to the Higgs will be relevant for direct detection). For the same reasons as in the KK photon case, we do not expect the cross section for annihilation into fermions to be sizable. Finally, annihilation into  $ZZ$  and  $hh$  are comparatively negligible.

An interesting variation of the KK  $Z$  LKP is the case when the gauge couplings and the BKTs for  $SU(2)_L$  and  $SU(2)_R$  are equal, which may occur if the model displays  $SO(4)$  symmetry (that may be a consequence of the larger underlying  $SO(5)$  symmetry as in [45]). In the  $SO(4)$  invariant case,  $L_{1-}^3$  and  $R_{1-}^3$  are degenerate in the limit of no EW breaking. Electroweak breaking lifts the degeneracy, and it picks up the vector combination  $V^3 = L_{1-}^3 + R_{1-}^3$  as the LKP, while the axial combination  $A^3 = L_{1-}^3 - R_{1-}^3$  which couples to the Higgs on the IR brane is heavier. The couplings of the LKP to the SM  $W$  bosons



**Figure 7:** Relic density prediction as a function of the  $Z^1$  mass in two cases. 1)  $Z^1$  is  $L_{1-}^3$  and has SM couplings. 2)  $Z^1$  is  $V^3 = L_{1-}^3 + R_{1-}^3$  with  $g_L = g_R$ . Only self-annihilation into  $W^+W^-$  is included.

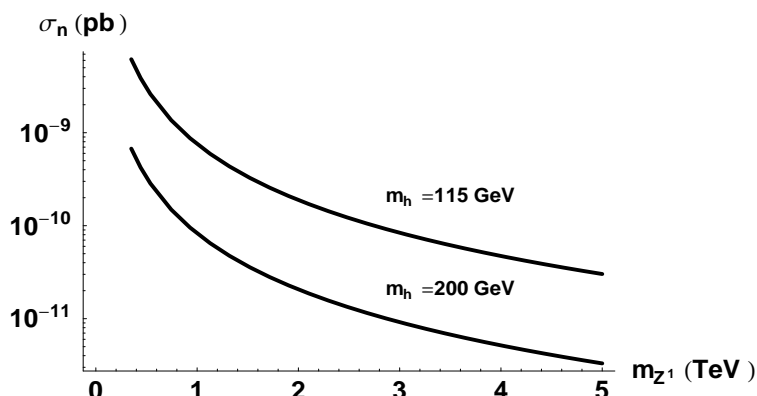
are reduced by one half with respect to the previous case. Moreover, the annihilation via  $t$ - and  $u$ -channel exchange of the charged axial gauge bosons should be taken into account. All in all, the annihilation cross section due to non-abelian gauge interactions is reduced by one quarter,

$$\sigma_{V^3V^3 \rightarrow W^+W^-} = \frac{1}{4} \sigma_{L^3L^3 \rightarrow W^+W^-} \tag{4.32}$$

Furthermore, the vector LKP does not couple to the Higgs boson at all.

Like in UED, we assume that the reheat temperature is at least a few tens of GeV so that the relic dark matter abundance follows from the standard thermal freeze-out procedure and is entirely determined by the annihilation cross section of the LKP. In the generic KK  $Z$  scenario, this leads to  $m_{\text{LKP}} \sim 3.5$  TeV to obtain the correct relic abundance (see figure 7). This mass scale is quite high and would signify that the little hierarchy problem is not solved in our model. The situation is better in the SO(4) invariant case, where the reduction of the annihilation cross section leads to the smaller LKP mass,  $m_{\text{LKP}} \sim 1.7$  TeV. Moreover, this mass scale could be further reduced if co-annihilation is taken into account [26]. We indeed expect the next lightest KK modes (NLKP)  $W_{1-}^\pm$  (as well as  $A_{1-}^3$  and  $A_{1-}^\pm$  in the SO(4) model) to be close in mass to the LKP. The relevant self-annihilation cross sections of the nearly degenerate states as well as the co-annihilation cross sections were computed in ref. [44] to study co-annihilation effects in KK photon dark matter but were not used to study KK  $Z$  dark matter. This issue is, however, model-dependent and here we do not go beyond the rough estimate obtained without co-annihilation.

Direct detection of KK  $Z$  from its elastic scattering off a nucleus in underground detectors such as CDMS or XENON will be very challenging, and in the SO(4) model,



**Figure 8:** Spin-independent elastic scattering of  $Z^1 (= L_{1-}^3)$  on nucleon.

it is hopeless since in this case there is no coupling to the Higgs. To predict the rates for direct detection of a heavy  $Z^1 = L_{1-}^3$ , we can use the same analysis as the one for UED [27, 46], replacing the hypercharge coupling by the  $SU(2)_L$  coupling. In addition, we can remove the effects from fermion interactions and only take into account the elastic scattering from  $t$ -channel Higgs exchange. The spin-independent elastic scattering cross section on a nucleon is

$$\sigma_n = \frac{m_N^2}{4\pi(m_{Z^1} + m_N)^2} \left[ Z f_p^{Z^1} + (A - Z) f_n^{Z^1} \right]^2 \frac{m_{p,n}}{A^2 \mu} \quad \text{where} \quad f_{p,n}^{Z^1} = m_{p,n} \sum f_{T_q}^{p,n} \frac{g^2}{2m_H^2} \quad (4.33)$$

where  $A$  and  $Z$  are the number of nucleons and protons in the nucleus,  $m_{n,p}$  is the mass of the proton or neutron,  $\mu = m_N m_{Z^1} / (m_N + m_{Z^1}) \sim m_N$  is the reduced mass of the WIMP-nucleus system and  $f_{T_q}^{p,n}$  are the usual nucleonic matrix elements. We therefore have a  $(g/g')^2$  enhancement compared to UED but also a suppression from the higher mass. So, at the end, the predictions are of the same order as the ones from UED, where elastic scattering is also dominated by Higgs exchange, unless some enhancement effect takes place from KK fermion exchange if we force a mass degeneracy between  $\gamma^1$  and KK quarks. As shown in figure 8, for  $Z^1$  masses of order 3-4 TeV, the nucleon- $Z^1$  spin-independent cross section is smaller than  $10^{-10}$  pb, well below the projected sensitivities of near-future experiments.

#### 4.4 Collider signatures

As suggested in the previous subsection, an LKP mass at 1 TeV is possible if the  $SU(2)_R$  component of the LKP is increased, which makes its effective coupling to the SM smaller and its relic density compatible with observations for a mass smaller than in the case of a pure  $SU(2)_L$  coupling. We have also argued that if  $Z^1$  is indeed the LKP (either  $L_{1-}^3$  or  $V_{1-}^3$ ), we expect the next lightest KK modes (NLKPs)  $W_{1-}^\pm$  (as well as  $A_{1-}^3$  and  $A_{1-}^\pm$  in the  $SO(4)$  model) to be close in mass to the LKP. There is, on the other hand, a large

mass splitting between these modes and the other KK states (even gauge KK modes and KK fermions other than the KK top) –unless the fermions are localized on the IR brane. This follows from our prejudice that, as required by EW precision tests, only these gauge fields have large brane kinetic terms. Therefore, we expect that only the KK top, the LKP and nearly degenerate gauge KK modes to be produced at LHC. This is quite different from the usual UED phenomenology where masses of all first level KK modes are of the same order. The UED implications for collider phenomenology were discussed in [6]. Pair production of KK fermions lead to cascade decays and final states with leptons, jets and missing energy, very much like supersymmetric signatures. The distinction in our setup is that the only SM particle the LKP couples significantly to is a  $W$  so that we always end up with at least one  $W$  in the final state. Pair production of  $t_R^1$  leads to  $t\bar{t}Z^1Z^1$ . This eventually leads to jets, leptons and large missing energy like in SUSY and UED but one way to probe this LKP scenario would be to reconstruct  $W$  and  $t$  candidates.

## 5. UV-IR-UV model

In this section we consider another setup with  $Z_2$  parity where we glue the two  $AdS_5$  slices in the IR region (instead of the UV region as considered before). We call this setup the UV-IR-UV model. The metric is

$$(ds)^2 = (dy)^2 + e^{+2k(|y|-L)}(dx)^2, \tag{5.1}$$

The warp factor has a *minimum* at the midpoint, which is now referred to as the IR brane, while the two end-point branes at  $y = \pm L$  are UV branes.

The above metric is a solution of the 5D Einstein’s equations with a negative bulk cosmological constant, once the two UV branes have equal positive tensions while the IR brane has a negative tension. The problem is that the radion is a ghost due to the negative tension on the IR brane (in the original Randall-Sundrum setup the would-be ghost is projected out by the boundary conditions on the negative tension brane). One might try to avoid the instability problem by adding a large graviton kinetic term on the IR brane that would give the radion a large enough right-sign kinetic term. A large 4D kinetic term for the graviton is reminiscent of the DGP model in ref. [47]. However, it is known that the DGP models may still have a ghost in the gravity sector [48]. An alternative is to consider a continuous metric in which case there is no need for a negative tension brane.<sup>7</sup> For example, the “cosh” metric  $(ds)^2 = (dy)^2 + \cosh(2ky)/\cosh(2kL)(dx)^2$  yields a spectrum that is qualitatively similar to that of the UV-IR-UV model. The cosh metric is a solution to the 5D Einstein’s equations in the presence of a negative  $T_{55}$  in the bulk (and two positive tension branes as before) [50]. A possible source of  $T_{55} < 0$  was proposed in ref. [51] using a conformal scalar. However, it was claimed that in this model the radion is a *tachyon* [52], instead of a ghost. One might be tempted to invoke the usual mechanisms such as Goldberger-Wise or Garriga-Pomarol [33] to stabilize the radion, i.e., make its

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<sup>7</sup>In fact, it is also possible to find a continuous warp factor qualitatively similar to the IR-UV-IR setup without the UV brane in the middle, which has the behavior of  $1/\cosh(2ky)$ ; see ref. [49].

(mass)<sup>2</sup> positive. However, the worry is that a back-reaction on the metric is so large that the warp factor may lose the qualitative UV-IR-UV behavior: whatever lifts the tachyonic mass of the radion would also makes a non-negligible contribution to the stress-energy tensor such that in the end  $T_{55}$  becomes positive again.

In fact, one can prove a  $c$ -theorem on the behavior of the warp factor on very general ground. If we write the warp factor as  $a(y) = e^{2A(y)}$ , using 5D Einstein's equations one can show that the weak energy condition implies [53]:

$$A''(y) \leq 0. \tag{5.2}$$

Clearly the IR-UV-IR setup, in which  $A'(-\infty) = 2k$  and  $A'(\infty) = -2k$ , satisfies this  $c$ -theorem, whereas the UV-IR-UV setup violates the  $c$ -theorem. In other words, negative energy sources violating the weak energy condition must be present in order for the UV-IR-UV setup to be a solution of the Einstein's equations. In ref. [51] such a negative energy source is provided by the casimir energy. Obviously there are other examples of negative energy sources in nature such as the dark energy driving the expansion of our universe. It remains to be seen if one could find a model with the UV-IR-UV-like warp factor that is free from the ghost or the tachyon.

In the following we very briefly explore the phenomenological features of the UV-IR-UV setup, since it is an obvious alternative to the IR-UV-IR setup, while keeping in mind that we are not aware of any satisfactory solutions to the issue of instability. Based on the previous discussion, it is clear that the spectrum of the UV-IR-UV model contains the even modes  $(++)$  and the odd modes  $(+-)$  (note that these BC's refer to a *single* slice of AdS<sub>5</sub>). One can find that the lightest even gauge KK mode mass is  $m_{1+} \sim m_{KK}$ , whereas the lightest odd gauge KK mode mass is  $m_{1-} \sim m_{KK}/\sqrt{kL}$  (as mentioned earlier). If the 5D model addresses the Planck-weak hierarchy, a large splitting between even and odd gauge KK modes is automatic; there is no need for large BKTs in this setup. This would have been a very desirable feature phenomenologically.

A peculiar feature of this model is the presence of a very light massive graviton state (in addition to the massless graviton). The mass of the lightest odd mode of the graviton turns out to be  $m_{1-} \approx 2\sqrt{2}e^{-kL} m_{KK}$ . Thus, it is suppressed with respect to the KK scale by the factor equal to the UV-IR brane hierarchy. If this hierarchy is Planck-weak, the lightest KK graviton mass is of order  $10^{-3}$  eV. This small mass comes because the would-be zero mode graviton from the  $(+-)$  sector is highly localized near the UV brane, with the wavefunction near the IR brane suppressed by  $e^{-kL}$  (just like the actual zero-mode from the  $(++)$  sector). For this reason it is insensitive to changing the BC on the IR brane. Equivalently, we could think of the mass as resulting from adding a longitudinal graviton mode near the IR brane to lift the would-be zero-mode and the small overlap between the transverse and longitudinal modes gives a small mass. There are many worries over such an exponentially light massive graviton, which all result from the vDVZ discontinuity [54]. Historically a tiny mass for the graviton has been ruled out by bending of light around the sun. However, the assumption there was based on the classical one graviton exchange between the sun and the photon. In the particular setup we are considering here, such an interaction is forbidden by the KK-parity because the light graviton is an odd mode

under KK-parity, and therefore the experimental constraint might be loosened. Even if one were able to get away with the constraint from bending of light, a very light massive graviton has been shown to be plagued by the strong coupling issue. For a graviton with mass  $m_g$ , it is shown in ref. [55] that the highest energy scale one can delay the strong coupling problem to is  $\Lambda_3 = (m_g^2 M_{pl})^{1/3}$ , where  $M_{pl}$  is the 4D Planck scale. For a massive graviton at  $10^{-3}$  eV, this would translate into  $\Lambda_3 \approx 1$  GeV, at which scale we lose control of the gravity sector.

To summarize, even though the UV-IR-UV setup provides a large splitting between the first even and odd KK gauge bosons, which is a nice phenomenological feature, the gravity sector seems to suffer from various instability and strong coupling problems.

## 6. Conclusion

In this work, we considered the possibility of implementing Kaluza-Klein parity in a warped geometry. The point is that KK-parity can allow for a lower mass scale for the new particles while satisfying the electroweak constraints. Besides, collider signatures of the resulting models are different from either of the two popular extra-dimensional models: the UED and the RS models. In UED, there is KK-parity and KK number conservation so that the mass scale of new particles can be as low as 300 GeV [7] and the LKP can be a good dark matter candidate [46]. Moreover, because of the flat geometry, the KK mass spectrum is evenly spaced and KK parity imposes pair-production of KK-odd particles. Despite the nice feature of allowing for new particles at masses as low as several hundreds GeV, UED models do not seem to address any hierarchy problems.

On the other hand, in the RS setup, where both electroweak symmetry breaking and the Planck-weak hierarchy are addressed, there is no  $Z_2$  parity and all new particles can be produced singly. However, precision electroweak and flavor tests constrain the mass scale of KK gauge bosons to be heavier than 2 - 3 TeV (KK fermions are allowed to be lighter, in some circumstances). Furthermore, the first few KK masses are not evenly spaced due to the warped background. Finally, there is no stable KK state unless an extra non-geometrical symmetry is imposed.<sup>8</sup>

The “warped KK-parity” setup we considered is the hybrid of the two scenarios: KK parity allows for a light KK mode compatible with electroweak precision tests. KK-odd particles need to be pair-produced, and the first few KK masses are not evenly spaced.

All Standard Model extensions which possess a new conserved quantum number at the TeV scale share very similar collider phenomenology [4, 6]. Pair-production of new (colored) particles lead to multiple jets ( $\geq 2$ ) and missing energy signals from the dark matter candidate as well as isolated leptons from cascade decays. In contrast, in models without a new symmetry, not every event involving production of new particles would be associated with multiple jets and missing energy. From this perspective, it is natural to wonder whether phenomenologies of models with warped extra dimension would always fall into the category of single-production of new particles, in which case observations of only

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<sup>8</sup>There could be a KK dark matter candidate in RS models, following from a  $Z_3$  symmetry imposed to solve the proton decay problem [41], but this is not a symmetry of geometrical origin unlike in UED.



events with a large multiplicity of jets and missing energy would automatically disfavor warped extra-dimension, or there exists variants which would again always produce events with multiple jets plus missing energy.

In this work we made a first attempt toward studying the above question. Ideally, we would like to implement the good features of UED, namely KK modes below a TeV and dark matter, in a warped background (so that the Planck-weak hierarchy is addressed) without giving up some of the virtues of warped extra-dimension such as fermion and Higgs localization. The first point to address is that, for a single slice of  $\text{AdS}_5$ , the warp factor is clearly not symmetric under reflection about the midpoint of the extra dimension. Therefore,

- we glue two physically distinct slices of  $\text{AdS}_5$  and impose the symmetry interchanging the two  $\text{AdS}_5$  slices.

In such a construction, the mass eigenstates can be divided into two classes with different symmetry properties. For any given level  $n$  in the KK decomposition, there are KK-even modes ( $n+$ ), whose profiles are symmetric under reflection around the mid-point of the extra dimension, and KK-odd modes ( $n-$ ) with anti-symmetric profiles. KK-odd modes can only couple in pairs to the KK-even modes and the low-energy, four-dimensional effective theory has KK parity. It is important to stress that our construction does not implement approximate KK number conservation, which would require that the fermion zero-modes and Higgs vev have a flat profile in the warped extra dimension. However, we cannot give up on the localization of the Higgs profile near the IR brane if we want to solve the Planck-weak hierarchy problem so KK number conservation is definitively lost in our approach. Therefore, while the odd modes are allowed to be lighter than a TeV, we need the even modes to be heavier than a few TeV since KK parity by itself is not enough to satisfy EW precision tests. To achieve that, we have to impose further requirements on our setup. Namely,

- we need to obtain a sizable hierarchy, at least a factor of a few, between the lightest KK-even mode and the lightest KK-odd mode.

There are two distinct ways to realize our idea, depending on whether the two slices are glued at the UV or IR brane, leading to the IR-UV-IR and the UV-IR-UV models:

- In the IR-UV-IR model the splitting between even and odd gauge KK modes can only come from very large IR brane kinetic terms. The dark matter particle can be identified with the lightest KK partner of the  $Z$  boson (the KK photon would not lead to the correct abundance since its couplings to the SM are different from the UED case) and the predicted relic abundance is in the correct range. However, there are two problems with this setup. One is that large IR brane kinetic terms create a certain tension with perturbativity and the regime where the 5D theory remains weakly coupled is rather narrow. The other problem is that for light fermions localized close to the UV brane the constraints from electroweak precision tests are still quite severe. The EW constraints can be softened by localizing fermions close to the IR

brane, but then the flavor problem cannot be addressed by utilizing the different localizations of fermions along the extra-dimension. Additional flavor symmetries need to be implemented, which we do not discuss in the present work.

- An apparent alternative to the IR-UV-IR setup is to glue the two slices of  $\text{AdS}_5$  together in the IR region instead. In such a UV-IR-UV model the desired splitting between even and odd gauge is naturally obtained without brane kinetic terms. However, when gravity is included the radion becomes a ghost and it is a challenge to make the UV-IR-UV setup stable gravitationally. A related issue is the appearance of a very light massive graviton, which poses a very low strong coupling scale around 1 GeV, at which the gravity sector already needs UV completion.

Thus, the IR-UV-IR setup seems a more promising approach to incorporate KK-parity in warped extra-dimensional models, even though to obtain a sizable splitting between the lightest KK-odd and -even modes and avoid the strong coupling problem in 5D at the same time, one may need to move the UV brane to an intermediate scale below the Planck scale. This may be a drawback compared with the traditional RS models, but certainly is an improvement over the UED in which the hierarchy problem is simply not addressed at all. In addition, the model discussed so far still requires additional mechanisms to address the issue of flavor violation. We proceeded in an exploratory spirit, focusing mostly on highlighting the important issues or challenges in model-building, and hope to present a tool-kit for model-building along these lines. Moreover, we adopted a phenomenological approach without concerning ourselves with whether the new particles stabilize the Higgs mass by canceling the quadratic-divergent contributions from the standard model particles. However, in the appendix, we show in toy models how divergences in the SM Higgs mass can be canceled by the lightest odd mode thus, possibly, providing a solution to the little hierarchy problem. It certainly will be interesting to look into more details of how the requirement of Higgs mass cancelation would affect various constraints and phenomenology of the setup.

There are several non-supersymmetric approaches on how new particles could stabilize the Higgs mass, which are all related directly or indirectly (via the AdS/CFT conjecture) to models with warped extra dimension. Some of the more popular ones are the gauge-Higgs unification [56], the holographic Higgs models [13], and the little Higgs theories [57]. Even though a  $Z_2$  parity, the  $T$  parity, has been implemented in the little Higgs theories [4], no such attempts have been made with regard to the first two classes of models. Clearly, our work could be viewed as an initial step toward that direction. In addition, it also seems likely that the IR-UV-IR setup could serve as a possible UV completion of the little Higgs theories with  $T$  parity, without resorting to supersymmetrized linear sigma models above 10 TeV. Much work remains to be completed.

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## A. CFT interpretation

We discuss here the CFT interpretation of the 5D models with KK parity considered in this paper, focussing only on the IR-UV-IR model since the UV-IR-UV model suffers from the instability in the gravity sector. In that model, we have two towers of the gauge fields: the even (+-) and the odd (--), where the Dirichlet boundary condition in the IR is effectively due to a large BKT. In addition, there is an even zero mode effectively localized near the IR (in the sense that its normalization is dominated by the IR BKT even though it has a flat profile) and a light odd mode localized near the IR brane.

Gauge symmetry in the 5D bulk is dual to a global symmetry of a 4D CFT [10], with (-) on the IR brane dual to a spontaneous breaking of the global symmetry at the TeV scale [13]. On the 4D side, the spontaneous breaking results in the presence of a Goldstone boson which is a composite of the CFT. Consider first the (+-) tower in isolation. The (+) BC on the UV brane is dual to a gauging of the global symmetry with an external gauge field [11]. This external gauge field eats the Goldstone boson and becomes massive. The mass scale is set by the coupling of the external gauge field to the CFT in the IR, which is given by  $g_{ext.} \sim g_\rho / \sqrt{\log(M_{PL}/\text{TeV})}$ , where  $g_\rho$  is the coupling of the heavy spin-1 composites or “ $\rho$  mesons” (assuming that the low-energy external gauge coupling is dominated by IR-free running due to the CFT sector). The coupling  $g_{ext.}$  is dual to  $\tilde{g}_5/\sqrt{L}$ .<sup>9</sup> On the other hand, the masses of heavy spin-1 composites, which are dual to the typical heavy gauge KK modes, is set by  $g_\rho$ , which is dual to  $\tilde{g}_5\sqrt{k}$ . Consequently, the mass splitting between the first and second KK mode is enhanced by the factor  $g_\rho/g_{ext.} \sim \sqrt{\log(M_{PL}/\text{TeV})}$  (the logarithm of the large hierarchy) as we found in the 5D calculation.<sup>10</sup>

The even zero-mode, being effectively localized in the IR, is dual to a massless CFT *composite* gauge field (like in the original RS1). This gauge field also couples to the Goldstone boson, with the coupling  $g_{comp.}$ , different from  $g_\rho$  and dual to  $g_{IR} = \tilde{g}_5/\sqrt{r_{IR}}$ . For  $g_{ext.} \gg g_{comp.}$ , corresponding to the limit of very large BKT’s we are focusing on,  $g_{IR} \ll \tilde{g}_5/\sqrt{L}$ , it is the external gauge field which marries the Goldstone boson, leaving the composite gauge field massless and vice versa for  $g_{comp.} \gg g_{ext.}$ . The interpretation

<sup>9</sup>We remind the reader that  $\tilde{g}_5$  is defined as the 5D gauge coupling in the single-slice AdS<sub>5</sub>, see eq. (4.8).

<sup>10</sup>A similar explanation can be given for the lightness of (-+) fermion mode for  $c \lesssim -1/2$ . First, note that (-+) spectrum is same as (+-) spectrum with *opposite* value of  $c$ , i.e.,  $c \gtrsim 1/2$  (see, for example, [15]). Then, the CFT interpretation is similar to that of gauge field with (+-) BC: an external fermion marries a composite fermion and it can be shown that the external fermion is even more weakly coupled to the CFT than in the case of the gauge field, resulting in an ultra-light mode from the marriage of external with composite fermion [58].

of the  $(--)$  tower in isolation is similar to that of the even tower above, except that the composite Goldstone boson always marries the composite gauge field since there is no external one in this case — the absence of external gauge field is dual to the  $(-)$  BC on the UV brane.

Finally, when we combine the two towers, the 4D dual interpretation is that there are *two* identical CFT's (dual to the two AdS slices related by KK parity). Each CFT breaks a global symmetry spontaneously in the IR, resulting in one Goldstone boson from each CFT and each CFT also produces a massless composite gauge field. A *single* external gauge field couples to *both* CFT's and, in the limit of very large BKT's that we are interested in, it marries one linear combination of the Goldstones to become the massive  $1_+$  mode. One combination of the two composite gauge fields marries the other combination of the Goldstones to become the massive  $1_-$  mode. The other combination of the *composite* gauge fields remains massless and is dual to the zero-mode gauge field.

## B. Solution to the little hierarchy problem

In this appendix, we discuss how our “warped KK-parity” setup could address the little hierarchy problem such that the SM Higgs mass can be cut-off by the lightest odd KK mode of the gauge field. At first we sketch a general argument based on the low-energy effective theory, i.e. the three-site model mentioned in section 3, and then support the intuition from the three-site model by an explicit computation in a 5D toy setup, in which the Higgs originates as the fifth component of a 5D gauge field ( $A_5$ ).

### B.1 Low-energy perspective

We begin by stressing that a new  $Z_2$  parity at the TeV scale does not interfere with cancellations of quadratic divergences in the Higgs mass by the new particles, no matter whether the new particles are even or odd under the new parity. As explained in ref. [4], loop diagrams involving interaction vertices with two new particles are sufficient to engineer cancellations of the quadratic divergences. Such interactions are always allowed by the  $Z_2$  parity as long as the two new particles involved are both odd (or even) under the new parity. Therefore it should be clear that whether the lightest gauge KK mode can stabilize the Higgs mass is entirely a question of engineering the cancellation through mechanisms such as the “collective breaking” in the little Higgs theories, or equivalently non-locality in the extra-dimension in Higgs as the  $A_5$  theories, and as such is independent of the charge of the gauge KK mode under the new parity.

On the other hand, it is a legitimate question to ask if one can be sure that the Higgs mass is always cutoff by the *lightest* gauge KK mode. This is a question that goes into the heart of employing all the different mechanisms to stabilize the Higgs mass, for if Higgs mass is cut off only by the second or higher gauge KK mode, the little hierarchy problem would not be solved at all. There have been many studies on such a question; see for example ref. [57]. At the risk of repeating what many experts have already known, we try to adapt the arguments to our particular setup of warped KK-parity.

Since we are interested in a “low-energy” question, in that whether the Higgs mass is cut off by the lightest gauge KK mode, it suffices to consider a “low-energy” effective theory by using a three-site moose model as discussed in section 3. In fact, ref. [57] discussed a  $N$ -site moose model with uniform gauge couplings and decay constants, which can be considered as deconstructing a flat extra-dimension. There the authors computed the Coleman-Weinberg potential of the scalar corresponding to the zero mode of  $A_5$  and showed explicitly that its mass is cut off by the lightest massive vector boson. Coincidentally, because of the flatness, there is KK-parity defined as the reflection with respect to the midpoint of the moose diagram, and the lightest massive vector boson is odd under the KK-parity. This supports the argument that KK-parity and stabilization of Higgs mass do not interfere with each other and a KK-odd massive gauge boson *can* in fact cut off the quadratic divergence in the Higgs mass.

In the context of our setup, we consider an extra-dimensional toy model with the  $SU(2)$  bulk gauge symmetry broken down to  $U(1)$  on the boundaries. The low-energy effective theory of such a toy model corresponds to a three-site model with  $SU(2)$  global symmetry on each site, in which the gauge symmetry is  $SU(2)$  in the middle site and only  $U(1)$  on the boundary sites.<sup>11</sup> At the very low energy only the diagonal  $U(1)$  gauge group and the diagonal  $SU(2)$  global symmetry are unbroken. The gauge sector has in the  $U(1)$  sector one massless and two massive modes, as discussed in section 3, as well as massive  $W^\pm$  gauge bosons corresponding to the broken  $SU(2)$  generators gauged in the middle site. The “Higgs” is taken as the  $A_5$  component of the bulk  $U(1)$  gauge field.

In this three-site model, one massless and one massive  $U(1)$  fields, as well as the massive  $W^\pm$ , are even under KK parity, which is the reflection of the two boundary sites. There is also one massive  $U(1)$  field that is KK odd. It is worth observing that the massive even mode is always heavier than the massive odd mode and has a wave function localized near the middle site when  $g_b > g_a$ , as can be seen in eq. (3.3). In other words, if one takes the limit that  $g_b \gg g_a$  and  $g_b \gg 1$ , the massive even mode becomes very heavy and should be integrated out of the effective theory, which is equivalent to integrating out the middle site. Therefore, one is left with a two-site model and only one massive mode that is odd under KK-parity. However, the mass of the Higgs, a scalar that corresponds to the  $A_5$  component of the  $U(1)$  field, should still be protected by the pseudo-Goldstone (or non-local) nature of the scalar itself. As such, its mass must be cut off by the only massive vector boson in the spectrum, i.e. the odd KK gauge boson. Alternatively, one could start with the two-site model and gradually “integrate-in” the other massive modes and the other sites. Obviously such UV operations cannot change the infrared nature of the question.

## B.2 Full 5D calculation

In order to prove our assertion that the Higgs mass can be cut off by the lightest gauge KK mode, we investigate below a simple toy gauge-Higgs model and compute the Higgs mass in a fully 5D calculation. We first consider a gauge-Higgs model in the usual RS framework

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<sup>11</sup>The same arguments can be employed in 5D models that include the full electroweak symmetry, for example in the model of ref. [13] with the gauge group  $SU(3) \times U(1)$  broken to  $SU(2) \times U(1)$ .

with two branes, and later we extend the analysis to the KK parity symmetric IR-UV-IR setup. We will provide technical arguments showing that the contributions to the Higgs mass are indeed cut off by the mass of the *lightest* gauge KK mode, which is odd under KK parity in our setup.

We start with the usual 2-brane RS1 setup where the boundary conditions for the three SU(2) generators of are given by:

$$\begin{pmatrix} A_\mu^{1,2}[- -] \\ A_\mu^3[+] \end{pmatrix} \tag{B.1}$$

where [+] stands for the Neumann (or mixed, in the presence of brane kinetic terms), while [-] stands for the Dirichlet boundary conditions on the UV and IR brane, respectively. At energies below the compactification scale, we recover a U(1) gauge theory with a charged scalar originating from the  $A_5$  component. The latter plays the role of the Higgs - its vev breaks the remaining U(1) symmetry.

To investigate contributions to the Higgs potential from the gauge fields it is convenient to employ the formalism of ref. [59] which we briefly review below. The Higgs potential is derived from the so-called spectral function  $\rho(s) \equiv \det(-s + m_n^2)$ , whose zeros on the positive real axis encode the whole KK spectrum in the presence of the gauge-Higgs vev  $\langle A_5 \rangle$ . The spectral function can be computed by solving the equations of motion and imposing the boundary conditions. This procedure yields a quantization condition for the KK masses, which can be used as the spectral function. With the spectral function at hand, we can compute the Higgs potential from the Coleman-Weinberg formula,

$$V = \frac{N}{16\pi^2} \int_0^\infty dp p^3 \log(\rho(-p^2)) \tag{B.2}$$

where  $N = +3$  for the gauge fields.

We move to solving the equations of motion. The SU(2) gauge field is expanded into KK modes as  $A_\mu = A_{\mu,n}(x) f_n(y)$ . The profile  $f(y)$  is an adjoint matrix,  $f = f^a \sigma^a$ , and it satisfies the equation of motion:

$$D_y(e^{-2ky} D_y f) + p^2 f = 0 \tag{B.3}$$

with  $D_y f = \partial_y f - ig_5 [ \langle A_5 \rangle, f ]$ , so that various components  $f^a$  are mixed in the presence of the gauge-Higgs vev. We can rotate away the vev from the equations of motion by rewriting

$$f(y) = \Omega(y) \hat{f}(y) \Omega^\dagger(y) \quad \Omega(y) = e^{ig_5 \int_0^y \langle A_5 \rangle} \tag{B.4}$$

and we obtain the simple equation:

$$\partial_y(e^{-2ky} \partial_y \hat{f}) + p^2 \hat{f} = 0 \tag{B.5}$$

in which  $\hat{f}^a$  do not mix with each other. The gauge-Higgs vev is now shifted to the IR boundary conditions for  $\hat{f}$  (the UV boundary conditions are unchanged because we fixed  $\Omega(0) = 1$ ). Eq. (B.5) is solved in terms of the Bessel and Neumann functions,

$a^{-1}(y)Z_1(p/ka(y))$ ,  $Z = J, Y$ . We define two combinations of these solutions,  $C(y)$  and  $S(y)$ , that satisfy  $C(0) = 1$ ,  $C'(0) = 0$ ,  $S(0) = 0$ ,  $S'(0) = p$ . Using this notation, we can write down the solutions to eq. (B.5) in such a way that the profiles  $f(y)$  satisfy the UV boundary conditions:

$$\hat{f}^{1,2}(y) = \alpha_{1,2}S(y) \quad \hat{f}^3(y) = \alpha_3C(y) \tag{B.6}$$

The profiles  $f(y)$  are found by rotating  $\hat{f}$  with  $\Omega(y)$ , as in eq. (B.4). To compute  $\Omega$ , we choose the Higgs vev to reside along  $\sigma^1$ ,

$$\langle A_5 \rangle = \frac{a^{-2}(y)}{\left[\int_0^L a^{-2}\right]^{1/2}} \frac{\sigma^1}{2} \tilde{v} \tag{B.7}$$

which leads to

$$\Omega(L) = \begin{pmatrix} \cos(\tilde{v}/2f) & i \sin(\tilde{v}/2f) \\ i \sin(\tilde{v}/2f) & \cos(\tilde{v}/2f) \end{pmatrix} \quad f^2 = \frac{2ke^{-2kL}}{g_5^2} \tag{B.8}$$

Hence

$$\begin{aligned} f^1(L) &= \hat{f}^1(L) \\ f^2(L) &= \cos(\tilde{v}/f)\hat{f}^2(L) + \sin(\tilde{v}/f)\hat{f}^3(L) \\ f^3(L) &= -\sin(\tilde{v}/f)\hat{f}^2(L) + \cos(\tilde{v}/f)\hat{f}^3(L) \end{aligned} \tag{B.9}$$

We impose IR boundary conditions (with the IR brane kinetic term for  $A_\mu^3$ ) and we solve the resulting set of equations. One solution is  $\alpha_{2,3} = 0$  and  $S(L) = 0$ , but here the quantization condition does not depend on the Higgs vev, therefore these eigenstates do not contribute to the Higgs potential. The other solution is  $\alpha_1 = 0$  and

$$\begin{aligned} 0 &= \alpha_2 \cos(\tilde{v}/f)S(L) + \alpha_3 \sin(\tilde{v}/f)C(L) \\ 0 &= -\alpha_2 \sin(\tilde{v}/f) (S'(L) - p^2 r_{IR} a^{-2}(L)S(L)) + \alpha_3 \cos(\tilde{v}/f) (C'(L) - p^2 r_{IR} a^{-2}(L)C(L)) \end{aligned} \tag{B.10}$$

The determinant of this set yields the quantization condition, ergo the spectral function

$$\rho_+(p^2) = F(p^2) + \sin^2(\tilde{v}/f) \tag{B.11}$$

where the form factor is  $F(p^2) = p^{-1}a^2(L)C'(L)S(L) - pr_{IR}C(L)S(L)$ . For the large BKT, the form factor below the KK scale  $m_{KK} = ke^{-kL}$  can be well approximated by a simple polynomial in  $p^2$ ,

$$F(p^2) \approx -\frac{p^2}{g_0^2 f^2} \left(1 - \frac{p^2}{m_{1+}^2}\right) \tag{B.12}$$

where  $m_{1+} \approx (r_{IR}/L)^{1/2}g_0f$  is the mass of the lightest KK mode. This mode will correspond to the lightest *even* KK mode, given the  $(++)$  boundary condition in the  $A_3$  component in eq. (B.1), when we move to the model with KK parity. For  $p \gg m_{KK}$  the

form factor grows exponentially,  $F(p^2) \sim e^{2p/m_{\text{KK}}}$  for  $p \rightarrow \infty$ , which ensures that the gauge-Higgs potential is UV finite. The Higgs mass parameter is given by the integral of the inverse form factor,

$$V_+''(\tilde{v} = 0) = \frac{N}{8\pi^2 f^2} \int_0^\infty dp p^3 \frac{1}{F(p^2)} \quad (\text{B.13})$$

The integral is dominated by the low energy contribution, and we can estimate

$$V_+''(0) \sim N/(4\pi^2)m_{1+}^2 \log(m_{\text{KK}}/m_{1+}) \quad (\text{B.14})$$

We can see that the Higgs mass is cut off by the lightest KK mode, which in the usual RS1 model is a few TeV.

Now, we move to the KK parity symmetric setup. In the presence of large BKTs, there is an extra mode which can be below the TeV scale. We anticipate that naturalness will be improved and we check it explicitly below. As discussed in section 4, introducing a mirror AdS slice is equivalent to introducing another gauge field whose UV boundary conditions are flipped with respect to the original one,

$$\begin{pmatrix} \tilde{A}_\mu^{1,2}[+-] \\ \tilde{A}_\mu^3[-+] \end{pmatrix} \quad (\text{B.15})$$

The contribution from this extra gauge field needs to be included in the Higgs potential. Repeating the same steps we find the spectral function

$$\rho_-(p^2) = F(p^2) + \cos^2(\tilde{v}/f) \quad (\text{B.16})$$

where the form factor  $F(p^2)$  is the same as in eq. (B.11). Note that, for  $\tilde{v} = 0$ ,  $\rho_-$  has a zero for  $p \approx g_0 f$ , which implies that the mass of the lightest *odd* mode is  $m_{1-} \approx g_0 f$ . The KK parity partner of the gauge multiplet contributes to the Higgs mass as

$$V_-''(\tilde{v} = 0) = -\frac{N}{8\pi^2 f^2} \int_0^\infty dp p^3 \frac{1}{(F(p^2) + 1)} \quad (\text{B.17})$$

Summing up the two contributions we have

$$V''(\tilde{v} = 0) = \frac{N}{8\pi^2 f^2} \int_0^\infty dp p^3 \frac{1}{F(p^2)(F(p^2) + 1)} \quad (\text{B.18})$$

The presence of the KK partner greatly reduces the sensitivity of the Higgs mass parameter to the high scales. For  $p < m_{1-}$ , the integrand is of order  $\sim pm_{1-}^2$ , but for  $p > m_{1-}$  we have  $F(p^2) > 1$  and the integrand switches to softer UV behavior,  $\sim m_{1-}^4/p$ , so that the mass is only logarithmically sensitive to momenta above  $m_{1-}$ . From that, we can estimate

$$V''(0) \sim N/(4\pi^2)m_{1-}^2 \log(m_{1+}/m_{1-}) \quad (\text{B.19})$$

Similar to the RS1 setup without KK parity, the Higgs mass parameter generated by loops of the gauge KK modes ends up being of the order of the mass of the *lightest* KK mode, which in this case is odd under KK parity. Since the odd mode can be lighter than 1 TeV, without conflicting with the electroweak precision tests, we can address the little hierarchy problem and improve on the naturalness in the KK parity symmetric setup.



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